# The Supreme Court of the State of Louisiana

IN RE: FLYNN KEMPFF SMITH

No. 2023-B-00596

IN RE: Office of Disciplinary Counsel - Applicant Other; Findings and Recommendations (Formal Charges);

June 21, 2023

Suspension imposed. See per curiam.

**PDG** 

JLW

JDH

SJC

JTG

WJC

**JBM** 

Crichton, J., additionally concurs and assigns reasons.

Supreme Court of Louisiana

June 21, 2023

Chief Deputy Clerk of Court

For the Court

SUPREME COURT OF LOUISIANA

NO. 2023-B-0596

IN RE: FLYNN KEMPFF SMITH

ATTORNEY DISCIPLINARY PROCEEDING

PER CURIAM

This disciplinary matter arises from formal charges filed by the Office of

Disciplinary Counsel ("ODC") against respondent, Flynn K. Smith, an attorney

licensed to practice law in Louisiana, but currently ineligible to practice.

FORMAL CHARGES

Counts I & II

On October 20, 2020, respondent was declared ineligible to practice law for

failure to pay his bar dues and the disciplinary assessment. On October 1, 2021, he

was declared ineligible to practice for failure to file his trust account registration

statement. Finally, between July 1, 2020 and February 17, 2022, respondent was

ineligible to practice for failure to comply with the mandatory continuing legal

education requirements.

Notwithstanding respondent's ineligibility during these periods, on January

27, 2022 he appeared in Section "G" of Orleans Criminal District Court representing

a defendant during arraignment. Respondent enrolled as counsel and entered a not

guilty plea on the defendant's behalf. In February 2022, the clerk in Section "G"

and the victim in the criminal case filed complaints against respondent with the

ODC.

### Count III

In 2022, the ODC learned that respondent was involved in a motor vehicle accident in 2020 and was found to have been highly intoxicated. Respondent's vehicle collided with another vehicle parked near the intersection of Cherokee Street and Dominican Street in New Orleans. Respondent's vehicle was still running and he was asleep at the wheel when the investigating officer arrived. The officer noted that there was a very strong odor of alcohol on respondent's breath and in his vehicle, where an open container of alcohol was found to be present. Respondent was awakened and upon exiting the vehicle was unsteady on his feet. He also slurred his speech and had bloodshot eyes. A breath test revealed that respondent's blood alcohol level was .235g%.

The officer conducted a search of respondent incident to his arrest. A small baggie containing a white powder-like substance fell from respondent's pocket during the search. Field testing confirmed that the substance was cocaine.

### DISCIPLINARY PROCEEDINGS

In September 2022, the ODC filed formal charges against respondent, alleging that his conduct as set forth above violated the following provisions of the Rules of Professional Conduct: Rules 1.1(c) (a lawyer is required to comply with all requirements of the Supreme Court's rules regarding annual registration, including payment of bar dues and the disciplinary assessment, timely notification of changes of address, and proper disclosure of trust account information), 5.5(a) (engaging in the unauthorized practice of law), 8.4(a) (violation of the Rules of Professional Conduct), and 8.4(b) (commission of a criminal act that reflects adversely on the lawyer's honesty, trustworthiness, or fitness as a lawyer).

Respondent was personally served with the formal charges but failed to answer. Accordingly, the factual allegations contained therein were deemed

admitted and proven by clear and convincing evidence pursuant to Supreme Court Rule XIX, § 11(E)(3). No formal hearing was held, but the parties were given an opportunity to file with the hearing committee written arguments and documentary evidence on the issue of sanctions. Respondent filed nothing for the hearing committee's consideration.

## Hearing Committee Report

After considering the ODC's deemed admitted submission, the hearing committee determined that the factual allegations of the formal charges were deemed admitted and, thus, proven by clear and convincing evidence. Based on those facts, the committee determined that respondent violated Rules 1.1(c), 5.5(a), 8.4(a), and 8.4(b) of the Rules of Professional Conduct.

The committee found that respondent violated duties owed to his client, the legal system, the profession, and the public. He acted at least knowingly, if not intentionally. Respondent's unauthorized practice of law caused potential harm to his client and the legal system. His criminal conduct caused actual and potential harm to the public and actual harm to the profession. Considering the ABA's *Standards for Imposing Lawyer Sanctions*, the committee determined that the applicable baseline sanction is suspension.

The committee found the following aggravating factors are present: multiple offenses, substantial experience in the practice of law (admitted 2006), and illegal conduct. In mitigation, the committee found the absence of a prior disciplinary record.

After further considering this court's prior jurisprudence addressing similar misconduct, the committee recommended respondent be suspended from the practice of law for two years. The committee also recommended that respondent be assessed with all costs associated with this proceeding.

Neither respondent nor the ODC filed an objection to the hearing committee's report. Therefore, pursuant to Supreme Court Rule XIX, § 11(G), the disciplinary board submitted the committee's report to the court for review.

#### **DISCUSSION**

Bar disciplinary matters fall within the original jurisdiction of this court. La. Const. art. V, § 5(B). Consequently, we act as triers of fact and conduct an independent review of the record to determine whether the alleged misconduct has been proven by clear and convincing evidence. *In re: Banks*, 09-1212 (La. 10/2/09), 18 So. 3d 57.

In cases in which the lawyer does not answer the formal charges, the factual allegations of those charges are deemed admitted. Supreme Court Rule XIX, § 11(E)(3). Thus, the ODC bears no additional burden to prove the factual allegations contained in the formal charges after those charges have been deemed admitted. However, the language of § 11(E)(3) does not encompass legal conclusions that flow from the factual allegations. If the legal conclusion the ODC seeks to prove (i.e., a violation of a specific rule) is not readily apparent from the deemed admitted facts, additional evidence may need to be submitted in order to prove the legal conclusions that flow from the admitted factual allegations. *In re: Donnan*, 01-3058 (La. 1/10/03), 838 So. 2d 715.

The evidence in the record of this deemed admitted matter supports a finding that respondent practiced law while ineligible to do so and was arrested for DWI and possession of cocaine. Based on these facts, respondent has violated the Rules of Professional Conduct as charged by the ODC.

Having found evidence of professional misconduct, we now turn to a determination of the appropriate sanction for respondent's actions. In determining a sanction, we are mindful that disciplinary proceedings are designed to maintain

high standards of conduct, protect the public, preserve the integrity of the profession, and deter future misconduct. *Louisiana State Bar Ass'n v. Reis*, 513 So. 2d 1173 (La. 1987). The discipline to be imposed depends upon the facts of each case and the seriousness of the offenses involved considered in light of any aggravating and mitigating circumstances. *Louisiana State Bar Ass'n v. Whittington*, 459 So. 2d 520 (La. 1984).

Respondent knowingly, if not intentionally, violated duties owed to his client, the public, the legal system, and the legal profession. Both actual and potential harm are present. We agree with the hearing committee that the applicable baseline sanction is suspension. The aggravating and mitigating factors found by the committee are supported by the record.

With regard to the issue of an appropriate sanction, we agree with the committee that respondent's misconduct, taken as a whole, warrants a two-year suspension from the practice of law. Respondent has practiced law after becoming ineligible to do so, which itself generally warrants a one year and one day suspension. *See In re: Hardy*, 03-0443 (La. 5/2/03), 848 So. 2d 511. Furthermore, respondent has engaged in criminal conduct involving DWI and possession of cocaine, and there is no evidence in the record that respondent has addressed his apparent substance use disorder. This conduct would warrant a period of suspension with no deferral under *In re: Baer*, 09-1795 (La. 11/20/09), 21 So. 2d 941. Therefore, when all of the misconduct is taken into consideration, a two-year suspension is appropriate

Based on this reasoning, we will adopt the committee's recommendation and suspend respondent from the practice of law for two years.

## **DECREE**

Upon review of the findings and recommendations of the hearing committee, and considering the record, it is ordered that Flynn Kempff Smith, Louisiana Bar Roll number 30302, be and he hereby is suspended from the practice of law for two years. All costs and expenses in the matter are assessed against respondent in accordance with Supreme Court Rule XIX, § 10.1, with legal interest to commence thirty days from the date of finality of this court's judgment until paid.